

CURRENT STATUS OF WORK IN AUDIT PLAN

AUDIT	STATUS	TARGET DATE FOR A&G COMMITTEE
Corporate & Cross-Cutting		
Annual Governance Statement & Governance Support	In progress	n/a
Asset Management	In progress	March 2019
Budgetary Control	Not started	June 2019
Corporate Complaints	Deferred	n/a
Data Quality	Not started	June 2019
Equalities	Planning Commenced	March 2019
GDPR Compliance	In progress	March 2019
Governance	In progress	March 2019
ICT – Asset Management	In progress	March 2019
ICT – Governance & Cyber Security	In progress	March 2019
ICT – Licence Management	Planning Commenced	June 2019
Information Security	Fieldwork Complete	March 2019
Insurance	In progress	March 2019
Multi-Agency Incident Planning	Not started	June 2019
Procurement & Contract Management	Not started	June 2019
Project Management	In progress	March 2019
Workforce Planning	Planning Commenced	June 2019

AUDIT	STATUS	TARGET DATE FOR A&G COMMITTEE
Staff Parking (addition to plan)	Fieldwork Complete	March 2019
Bodyworn CCTV (addition to plan)	Fieldwork Complete	March 2019
NHS Information Governance toolkit (addition to plan)	Not started	June 2019
Ward Committee Funding (addition to plan)	Not started	March 2019
Main Financial Systems		
Council Tax & NNDR	Deferred	n/a
Council Tax Support and Housing Benefits	In progress	March 2019
Debtors	In progress	March 2019
Housing Rents	Not started	June 2019
Main Accounting System	Not started	June 2019
Ordering and Creditor Payments	Fieldwork Complete	March 2019
Payroll	Not started	June 2019
VAT Accounting	Not started	June 2019
Health, Housing and Adult Social Care		
ASC Absence Management	Not started	June 2019
ASC Budget Management	In progress	March 2019
Continuing Healthcare	In progress	March 2019
CQC Improvement Plan	Complete – no report issued	December 2018
Housing Development	Deferred	n/a

AUDIT	STATUS	TARGET DATE FOR A&G COMMITTEE
Housing Fraud	Not started	June 2019
Public Health – Health Protection Standards	Not started	June 2019
Responsive Repairs	Not started	June 2019
Section 117 of the Mental Health Act (follow-up)	Fieldwork Complete	March 2019
Economy and Place		
Capital Projects	Not started	June 2019
Clean Air Data	High Assurance	September 2018
Contract Management – Allerton Park	Not started	June 2019
Contract Management – Park and Ride	Not started	June 2019
Waste Services – Procurement	In progress	March 2019
Section 106 Agreements – Education (addition to plan)	Not started	March 2019
Children, Education and Communities		
Adult Education Service	Fieldwork Complete	March 2019
Children’s Social Care Funding and Budget Management	Not started	June 2019
Free Early Education Funding	In progress	March 2019
Schools themed audit – Budget Management	In progress	March 2019
Schools themed audit – Information Governance	In progress	March 2019
Schools Funding	Deferred	n/a

AUDIT	STATUS	TARGET DATE FOR A&G COMMITTEE
Schools Maintenance Programme	Not started	June 2019
Services to Schools	Not started	June 2019
Wenlock Terrace	Fieldwork Complete	March 2019
Schools: St Wilfrid's Primary School Wigginton Primary School Clifton Green Primary School St Paul's Primary School	Draft report issued Fieldwork Complete Fieldwork Complete Planning Commenced	December 2018 March 2019 March 2019 March 2019